

**Workforce Arizona Council**  
ARIZONA@WORK WIOA Statewide Monitoring Policy

**POLICY NAME:** ARIZONA@WORK WIOA Statewide Monitoring Policy

**POLICY NUMBER:** 06

**EFFECTIVE DATE:** February 23, 2023

**ISSUING AGENCY:** Workforce Arizona Council

**SCOPE:** Workforce Arizona Council (Council), Arizona Department of Economic Security (DES) Staff, Arizona Department of Education (ADE) Staff, Arizona Commerce Authority/Office of Economic Opportunity (ACA/OEO) Staff, Local Workforce Development Boards (LWDBs), Local Workforce Administrative Entities, and Workforce Stakeholders.

**REFERENCES:** Title I of the Workforce Innovation and Opportunity Act (WIOA) of 2014, (Pub. L.113-128); A.R.S. section 41-5401, WIOA Final Regulations – 20 CFR 679.130, 20 CFR 683.400, 20 CFR 683.410, 20 CFR 683.420, 20 CFR 683.600, 20 CFR 683.650, 20 CFR 683.720 and 20 CFR 200.130.

**OBJECTIVE:** To establish roles of the Workforce Arizona Council (Council), the Arizona Department of Education (ADE) and the Arizona Department of Economic Security (DES), in ensuring compliance with WIOA and its regulations, Workforce Arizona Council policies, and WIOA implementation as outlined in the Arizona State Workforce Development Plan (State Plan). Monitoring information is used to inform the Council about the ARIZONA@WORK systems' current status and support the Council in fulfilling its statutorily- defined strategic objectives.

**I. ARIZONA@WORK WIOA Statewide Monitoring System**

- A. The Council shall assist the Governor in the development and continuous improvement of the workforce system. In this role, the Council is statutorily responsible for the development and updating of comprehensive State performance and accountability measures. These measures assess core program effectiveness, along with supporting LWDBs efforts to increase their performance and effectiveness in serving employers and job seekers.
- B. To support the State and the Council in fulfilling this role, the ADE and DES shall:
  - a. Conduct monitoring according to the State Plan for their respective programs, which includes all four titles of WIOA.
  - b. Report the status of monitoring activities annually, or upon request, to the Council, including but not limited to any technical assistance that has been provided or corrective action plans put in place.

- c. ADE, as the sole authority for Title II in Arizona, shall conduct monitoring of Title II services according to the requirements of the grant contract between ADE and funded Title II Adult Education providers.
  - d. To promote alignment within the workforce system and further the goals of the State Plan, ADE will share monitoring information and results with the Council.
- C. Pursuant to WIOA, DES, as the grant recipient for Titles I, III and IV, must monitor LWDBs annually for compliance with applicable laws and regulations in accordance with the Statewide Monitoring System and shall:
  - a. Assess compliance with required federal fiscal guidelines contained in 2 CFR part 200;
  - b. Ensure policies designed to assist LWDBs in achieving program performance meet WIOA objectives;
  - c. Enable determination of subrecipients and contractors demonstration of substantial compliance with WIOA, and Wagner-Peyser Act Requirements;
  - d. Provide data that indicates if a local plan will be disapproved for failure to make acceptable progress in addressing deficiencies; and
  - e. Ensure compliance with the nondiscrimination, disability, and equal opportunity requirements of sec. 188 of WIOA, including the Assistive Technology Act of 1998 (29 U.S.C. 3003).
- D. DES will prepare and submit to the Council an annual WIOA Monitoring Plan and supporting materials, which aligns with the WIOA State Plan and represents ARIZONA@WORK WIOA Statewide Monitoring for Titles I, III and IV.
- E. ADE and DES shall provide information to the Council regarding the status of monitoring annually or as requested. The Council may use the information in fulfilling their statutorily-defined roles, including setting the strategic direction, developing policy, and making resource and continuous improvement recommendations.
- F. ADE and DES will inform the Council when monitoring activities determine that there is a lack of compliance in programmatic or fiscal monitoring to include monitoring for compliance with Council policy.
- G. The Council may recommend additional technical assistance and/or elements to the corrective action plan which is implemented by DES and ADE.

**CONTACT ENTITY:** Inquiries regarding this policy should be directed to the Workforce Arizona Council staff at [Workforce.Council@oeo.az.gov](mailto:Workforce.Council@oeo.az.gov)