



Arizona at Work Maricopa County
Eligible Training Providers Certification Regarding Debarment,
Suspension Ineligibility and Voluntary Exclusion
Lower Tier Covered Transactions



PURPOSE:

This certification is required by the regulations implementing Executive Order 12549, Debarment and Suspension, 29 CFR Part 98, Section 98.510, Participant's responsibilities.

Training Providers, as prospective receipt of Federal funds, that are listed on the Arizona Department of Economic Security's Eligible Training Providers List (ETPL), and that conduct business with Maricopa County must submit a completed "*Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion*" form.

Completion of the form is required on an annual basis. The form is on the Arizona at Work Maricopa County website and can be submitted electronically <https://www.arizonaatwork.com/maricopa/>

Training providers receiving federal funding under the Workforce Innovation and Opportunity Act (WIOA) are required to have a DUNS Number and also register in SAM (System for Award Management).

A DUNS number can be obtained free on the Dun & Bradstreet website:

<https://fedgov.dnb.com/webform>

For SAM information and registration go to :

https://www.sam.gov/sam/transcript/Quick_Guide_for_Grants_Registrations.pdf

DUNS number must be listed at the bottom of this page.

There may be a delay in payment if training provider is not registered in DUNS and in SAM or registration is not current.

The undersigned, by signing this Certification, represents that he/she has the authority to bind the agency to the terms of this Certification. The agency, as the primary participant in accordance with 45 C.F.R. Part 76, certifies to the best of its knowledge and belief that it and its principals:

1. Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any federal department or agency;
2. Have not within a 3-year period preceding today's date, been convicted of or had a civil judgment rendered against them for (1) the commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (federal, State, or local) transaction or contract under a public transaction; (2) the violation of any federal or State antitrust statutes or (3) the commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
3. Are not presently indicted or otherwise criminally or civilly charged by a governmental entity (federal, State, or local) with the commission of any of the offenses enumerated in subparagraph 2 above;
4. Have not, within a three-year period preceding today's date, had one or more public transactions (federal, state, or local) terminated for cause or default;

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5. Agency shall immediately notify the Maricopa County Human Services Department if, at any time during the Certification term if it is debarred, suspended, declared ineligible, or voluntarily excluded from participation. The Department may pursue available remedies in the event of such occurrence, including immediate termination of approval of training for Workforce Innovation and Opportunity Act (WIOA) eligible participants, without liability;
6. The certification is a material representation of fact upon which reliance was placed when this transaction was entered into. If it is later determined that the primary participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the Arizona Department of Economic Security and or Maricopa County may pursue available remedies, including suspension and/or debarment.
7. Agency shall not enter into a subcontract or agreement with any person or organization that is debarred, suspended, declared ineligible, or voluntarily excluded from participation. The Department may pursue available remedies in the event of such occurrence, including immediate termination without liability. Agencies that enter into subcontracts or agreements, should conduct a search on the System Award Management (SAM) website: www.sam.gov to determine if a subcontractor is listed on the Parties Excluded from Procurement or Non-procurement Programs list. Agencies that determine that subcontractor has been debarred, suspended, declared ineligible or voluntarily excluded from participation shall pursue available remedies, including suspension, debarment and/or termination of agreement without liability.

Should the Agency not be able to provide this Certification, an explanation as to why shall be immediately provided to the Department.

Debarment Certification is valid for the period of July 1, 2016 to June 30, 2017.

Training Provider Name, Name and Title of Authorized Representative and Date Acknowledged:

Training Provider Name

Name and Title of Authorized Representative

Date

DUNS Number